



Joubert Rootman

Makelaars/Brokers

Gemagtigde Finansiële Diensteverkaffer Lisensie No. 13899 / Authorised Financial Services Provider Licence No. 13899
Lid van Masthead Finansiële Adviseurs Assosiasie / Member of Masthead Financial Advisors Association

**STATUTORY DISCLOSURE AND INTRODUCTION BY
OOSTHUIZEN ROBERTSON CC t/a JOUBERT ROOTMAN BROKERS
FSP No 13899
*An Authorised Financial Services Provider (FSP)***

Personal Details of Key Individual	Hannes Robertson	
Contact Details	Physical address: 188 Joubert Street Rustenburg Tel: 014 592 9476 Fax: 014 592 2388 E-mail: info@joubertrootman.co.za	Postal address: Postnet Suite 4011 Private Bag X 82323 Rustenburg 0300
FSB Licence Number	13899	
Licence Categories	1.2 1.6	CATEGORY I Short Term Insurance: Personal Lines Short Term Insurance: Commercial
Compliance Officer	Erika Botha of Infinitus Risk Management Service (Pty) Ltd Practice registration number: 6172 / 6399	
Experience and Qualification	The adviser has experience since 1997 in the rendering of advice and intermediary services in the financial services industry. The adviser holds the following qualification: Certificate of Competence as received from the Insurance Institute of South Africa	
Contractual Arrangement with Product Providers/Companies	No product provider or investment company holds any shares in the FSP nor is the FSP associated with any such institution. The FSP is contracted with and can market the products of the following institutions: <ul style="list-style-type: none"> • Brolink • Santam • Mutual & Federal • Zurich • ONE Insurance (AIRMS) • Cross Country • BnB Sure • Auto & General • FSP Solutions • Corporate Sure • CIA • Hollard • Guard Risk 	

	<ul style="list-style-type: none"> • Execuline • New National Assurance Company
Remuneration	<p>The adviser is remunerated by way of commission and fees payable by product providers and companies as set out on the quotation provided.</p> <p>The adviser has received more than 30% of the remuneration in the past financial year from the following product provider:</p> <ul style="list-style-type: none"> • Brolink
Conflict of Interests	<p>In the event of a potential conflict of interest in the rendering of advice to clients, the FSP will place the interests of the client first. In the event where an ownership interest exists or financial interests are received, it will so be disclosed in the Register of Conflict of Interest, a copy of which is available on request.</p>
Professional Indemnity Insurance	<p>The FSP holds insurance with AON as insurer.</p>
Financial Advisor Intermediary Services	<p>The FSP warrants that any adviser that render financial services to a client complies with the fit and proper requirements in terms of the Financial Advisory and Intermediary Services Act.</p> <p>Without in any way limiting and subject to the provisions of the services agreement, the FSP accepts responsibility for the lawful actions of the adviser in rendering financial services within the course and scope of their employment.</p>
Advice	<p>The adviser is required to analyse clients' requirements and needs during the provision of advice. The financial advice provided will be based on the information provided by the client and the FSP will not be held liable for any financial advice that was provided in respect of incorrect, inaccurate or incomplete information provided by the client.</p>
Confidentiality	<p>All information received from and regarding the client will be treated as confidential by the FSP and its personnel.</p>
Financial Intelligence Centre Act	<p>The FSP is registered as a reporting institution with the Financial Intelligence Centre and shall be obliged to record and verify client information in the manner prescribed by FICA.</p> <p>The FSP is also required to report unusual and suspicious financial activities where so obligated by FICA.</p>
Complaints	<p>Any queries and complaints are to be addressed to the Key Individual as per the contact details provided.</p> <p>A copy of the FSP's complaints procedure is available on request.</p> <p>Should a complaint not be addressed to the satisfaction of the client, it may be referred to the offices of the relevant Ombud, as per the details herein contained.</p>
Contact Details for FAIS Ombud	<p>Eastwood Office Building, Baobab House, Ground Floor, C/o Lynnwood Street & Jacobson Drive, Lynnwood Ridge, Pretoria. Tel: 012 470 9080 Fax: 012 348 3447</p> <p>Email: info@faisombud.co.za Website www.faisombud.co.za</p>
Contact Details for Ombud for Short Term Insurance	<p>Sunnyside Office Building, Fifth Floor, Building D, Princess of Wales Terrace, Parktown, Johannesburg. Tel 011 726 8900 Fax 011 726 5501</p> <p>Email: info@osti.co.za Website: www.osti.co.za</p>
Contact Details for Financial Services Board	<p>River Walk Office Building, Building B, 41 Matroosberg Road, Garsfontein, Pretoria. Tel 012 428 8000 Fax 012 346 6941</p> <p>Email: info@fsb.co.za Website: www.fsb.co.za</p>